## **Client Information Confirmation (Corporate Client)**

## 覆核客戶資料表格(企業客戶)

Please complete form and tick "」" where appropriate and cross out those not applicable. 請填寫本表格上各欄,並在適當的方格內加上 『"號及刪除不適用者。
客戶資料 Client Information
帳戶名稱 Account Name:
帳戶號碼 Account No.:
客戶經理/ 銷售人員/ 業務部門 Account Manager/ Sales Staff/ Department:
持牌人士名稱 Name of Licensed Person:
與客戶聯絡日期 Date of Client Contact:
聯絡方法 Client Contact Method: □ 電話*Phone* □ 會面 In Person
信件/電郵 (已註冊的電郵地址) Mail/ E-mail (registered e-mail address)
聯絡的客戶獲授權人名稱 Name of Customer's Authorized Person Contacted With:
分機號碼* Extension*: 聯絡時間 Contact Time:
*如使用電話與客戶聯絡,需使用錄音電話系統 *Using telephone recording system is required if contact client through telephone. # 如需要改動第一至六項資料 (即地址、公司董事、獲授權人士、擁有權結構、聯絡資料等),客戶需要簽署此表格作更改資料指示及提供相關文件。若需要更改的資料並不涉及上述提及之類別,可使用錄音電話系統與客戶聯絡作更改資料指示之用。 #If client would like to change the item 1-6 of account information (such as address, director, authorized person, ownership structures, contact information, etc), client need sign this form as an instruction for information change and provide further supporting document(s). For those updated information are not mentioned as above, telephone recording system can be using for contacting client which client signature is not required for such information update instruction.
Account Information# 戶口資料#

資料更改詳情 Details of Information Account Information 戶口資料 Situation 狀況 1. 通訊地址 Correspondence Address □ 不變 Unchange □ 更改 Change (如更改,客戶必須提供最近 3 個月之地址証 明 For any changing, please provide a valid address proof within 3 months) 2.主要營業地址 Principal Place of Business □ 不變 Unchange □ 更改 Change (如更改,客戶必須提供最近 3 個月之地址証 明 For any changing, please provide a valid address proof within 3 months) 3. 公司董事 Director(s) of the Company □ 不變 Unchange □ 新增 Add (\*如有變更,請提供詳細列表)(\*Please □ 更改 Change provide the updated detail list if there is any changing) 4. 獲授權人士 □ 不變 Unchange □ 新增 Add Authorized person □ 更改 Change \*如有變更,請提供詳細資料 \*Please provide the updated details if there is any changing

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***客戶簽署 (連同公司印章)Client Signature (with company chop) 銷售員工簽署 Sales Staff Signature 日期 Date:  (***只適用於以見面或書面形式進行的確認 Only applicable to face-to-face or written confirmation)  由銷售員工填寫 Completed by Sales Staff 類別 Type:  中度盡職審查 Annual Customer Due Diligence  驗證客戶資料 Client Information Verification: 中口的實益擁有權或控制權有變 Change in the beneficial ownership or control of the account  ***********************************	
日期 Date:	
日期 Date:	
日期 Date :	
日期 Date:	
	<u> </u>
備註 Remark:	
8. 投資目的 (例如資本增值,對沖等) Investment Objectives (eg. Capital appreciation, Hedging etc)  □ 不變 Unchange □ 新增 Add □ 更改 Change	
7. 業務性質 Nature of Business □ 不變 Unchange □ 更改 Change	
號碼等) Contact Information (e.g. phone number, e-mail address, fax number, etc)  □ 更改 Change	
6. 聯絡資料 (如電話號碼、電郵地址、傳真 ロ 不變 Unchange ロ 新増 Add	
Beneficiary(ies) and intermediate layer(s) )  *For any changing, please provide updated details and the Name, ID / Passport No, Nationality, Occupation, Contact No and Address of Ultimate Beneficiary(ies).	
籍、職業、聯絡電話及地址 Ownership structures (include the Ultimate	
層等) □ 不變 Unchange □ 新增 Add  *如有更改,請提供請提供詳細列表及最終 實益擁有人的姓名、身份證/護照號碼、國 □ 更改 Change	

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